Cornell University Export Control Office (ECO)

Facts:

- Export Controls apply to transfers out of, as well as some transfers within, the U.S.
- Export Controls apply to U.S. citizens, anywhere in the world.
- Export Controls apply to everyone, regardless of citizenship, in the U.S.
- The Fundamental Research Exclusion (FRE) is NOT available for physical shipments.
- To claim the FRE, the research must not have publication, dissemination, or participation restrictions.
- Transfer of proprietary information to a foreign national in the U.S. may require an export license.
- Any activity with Iran, Cuba, Syria, North Korea, Ukraine, Russia, and Belarus must be approved by Cornell's ECO.
- Penalties for violations include substantial monetary fines (levied against the organization and the individual) and can include jail time in the case of willful violations.

Myths:

- "Export Controls do not apply to my shipment because it is non-hazardous."
- "Export controls do not apply to my shipment because you can order it on Amazon."
- "Everything is research related, so I do not need to be concerned about Export Controls."
- "I'm not sending anything out of the U.S., so I do not need to worry about Export Controls."

Good News!:

- Cornell has resources to help.
- You do not need to make a determination on your own!

Cornell Contacts:

- Cornell's Export Control and Compliance Officer (ECCO), Sarah Schlagter: sms655@cornell.edu or 607-255-5284
- Cornell's Export Control Manager, Terrence Rusch: tr292@cornell.edu or 984-989-7162
- Cornell's Export Control Office: exportcontrols@cornell.edu

Training Resources:

- Request one-on-one, departmental, or unit-specific training from Cornell's ECO
- Review Cornell's Export Control website: https://researchservices.cornell.edu/export-controls
- Review one of our Export Control focused round table events: March 2023 OSP Roundtable - Export Controls and Academia - YouTube
- Take introductory or detailed online Export Control classes via Cornell's Citi course subscription: https://researchservices.cornell.edu/training/citi-online-courses-cornell-researchers-coi-irb-rcr-export-control